

SP01-120

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Dawes, Steven B., et al.  
Serial No.: 09/850341  
Filed: 5/7/01  
Title: WAVEGUIDES AND METHOD  
OF MAKING THEM

Group Art Unit: 2874  
Examiner: Wong, Eric K

Commissioner of Patents  
Alexandria, VA 22313-1450

Sir:

#9/Decl.  
Marsha  
5/21/03

**DECLARATION UNDER 37 C.F.R. § 1.131**

Michael E. Derosa Declares as follows:

I am the inventor of the subject matter disclosed and claimed in the above-identified application.

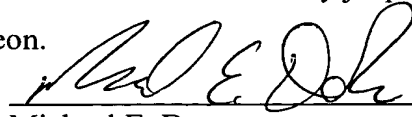
I currently hold the position of Senior Research Scientist.

Since 1998 I have been active in the research and development of processing and properties of polymer photonic materials.

I received my B.S. degree in 1989 from the University of Massachusetts, Amherst, in chemistry. I received my Ph.D. in 1994 in Polymer Science and Engineering from the University of Massachusetts, Amherst.

I conceived of the invention at a date earlier than December 29, 2000, as evidenced by the attached copies of the ID notification and the laboratory notebook. The date of the memo was prior to December 29, 2000.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

  
Michael E. Derosa

Date: May 6, 2003